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| (REV I | | | TO THE UNITED STATES | 112740-152 |
| l | | DESIGNATED/ELECTE | D OFFICE (DO/EO/US) | U.S. APPLICATIONNO. (IF KNOWN, SEE 37 CFR |
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| INTE | | IONALAPPLICATIONNO. | INTERNATIONALFILINGDATE | PRIORITYDATECLAIMED |
| | | PCT/DE99/00071 | 14 January 1999 | 10 August 1998 |
| | | NVENTION F. TELLEGOMMUNICATION | DEVICE | |
| A W | OBIL | E TELECOMMUNICATION | DEVICE | |
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| Appli | cant h | erewith submits to the United Stat | es Designated/Elected Office (DO/EO/US) th | e following items and other information: |
| 1. | X | This is a FIRST submission of it | ems concerning a filing under 35 U.S.C. 371. | |
| 2. | | This is a SECOND or SUBSEQU | JENT submission of items concerning a filing | g under 35 U.S.C. 371. |
| 3. | X | | n national examination procedures (35 U.S.C. of the applicable time limit set in 35 U.S.C. 3' | |
| 4. | × | • | 11 | 19th month from the earliest claimed priority date. |
| 5. | X | • • | cation as filed (35 U.S.C. 371 (c) (2)) | The month man are cultions ordinated priority dute. |
| l | | | (required only if not transmitted by the Intern | national Bureau). |
| Į. | | b. has been transmitted by | the International Bureau. | |
| ł | | c. \square is not required, as the a | pplication was filed in the United States Recei | iving Office (RO/US). |
| 6. | X | | Application into English (35 U.S.C. 371(c)(2) |)). |
| 7. | X | A copy of the International Search | | 10 (05 11 0 0 054 () (0)) |
| 8. | × | _ | International Application under PCT Article (required only if not transmitted by the Inter | *************************************** |
| Į. | | | by the International Bureau. | national Bulcauj. |
| | | | wever, the time limit for making such amenda | nents has NOT expired. |
| - | | d. have not been made and | will not be made. | |
| 9. | | A translation of the amendments | to the claims under PCT Article 19 (35 U.S.C | 2. 371(c)(3)). |
| 10. | × | An oath or declaration of the inve | ., , | |
| 11. | | • • | minary Examination Report (PCT/IPEA/409). | out under DCT Article 24 |
| 12. | L | (35 U.S.C. 371 (c)(5)). | e International Preliminary Examination Repo | ort under PC1 Article 56 |
| It | tems 1 | 3 to 20 below concern document | (s) or information included: | |
| 13. | | An Information Disclosure State | ment under 37 CFR 1.97 and 1.98. | |
| 14. | X | An assignment document for reco | ording. A separate cover sheet in compliance | with 37 CFR 3.28 and 3.31 is included. |
| 15. | X | A FIRST preliminary amendmen | | |
| 16. 17. | | A SECOND or SUBSEQUENT A substitute specification. | preliminary amendment. | |
| 18. | | A change of power of attorney as | nd/or address letter | |
| 19. | × | Certificate of Mailing by Express | | |
| 20. | X | Other items or information: | | |
| | | Submission of Drawings Fig.s. | 1-2 on two sheets | |
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PTOUSE ONLY

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CALCULATIONS

| international | rnational preliminary examination search fee (37 CFR 1.445(a)(2) onal Search Report not prepared | paid to USPTO | \$1,000.00 | | |
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| ☑ International USPTO but | preliminary examination fee (37 Internation Search Report prepare | CFR 1.482) not paid to | \$860.00 | | |
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INTERNATIONALAPPLICATIONNO.

PCT/DE99/00071

U.S.-APPLICATION OF THE WAYS FEE 34 CF

The following fees are submitted:.

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

21.

P.O. Box 1135

Chicago, IL 60690-1135

William E. Vaughan

REGISTRATION NUMBER

February 12, 2001

NAME 39,056

DATE

BOX PCT

IN THE UNITED STATES ELECTED/DESIGNATED OFFICE OF THE UNITED STATES PATENT AND TRADEMARK OFFICE UNDER THE PATENT COOPERATION TREATY-CHAPTER II

5

PRELIMINARY AMENDMENT

APPLICANT:

Ludwig Hofmann

DOCKET NO: 112740-152

SERIAL NO:

GROUP ART UNIT:

10

EXAMINER:

INTERNATIONAL APPLICATION NO:

PCT/DE99/00071

INTERNATIONAL FILING DATE:

14 January 1999

INVENTION:

A MOBILE TELECOMMUNICATION DEVICE

15 Assistant Commissioner for Patents, Washington, D.C. 20231

Sir:

Please amend the above-identified International Application before entry into the National stage before the U.S. Patent and Trademark Office under 35 U.S.C. §371 as follows:

In The Specification:

On page 1, cancel lines 1-4 and substitute the following therefor:

--SPECIFICATION

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TITLE

A MOBILE TELECOMMUNICATION DEVICE

BACKGROUND OF THE INVENTION

Field of the Invention

The present invention relates, generally, to a mobile telecommunication device which transmits messages to a number of different subscriber stations via either a number of radio frequencies or different transmission methods and, more particularly, to such a mobile telecommunication device wherein the radio frequency

or transmission method is selected or changed depending upon the location of the mobile telecommunication device.

Description of the Prior Art--.

On page 1, line 12, cancel "which, for" and substitute therefor --. For--.

On page 1, line 13, insert -- such satellites -- before "are".

On page 1, line 22, cancel "object on which the" and substitute therefor -- present---.

On page 1, line 22, cancel "is based".

On page 1, line 22, insert a --, -- after "is" and before "therefore".

On page 1, line 22, insert --, directed-- after "therefore".

On page 1, line 23, cancel "specify" and substitute therefor --specifying--.

On page 1, line 23, cancel "by means of" and substitute therefor --via--.

On page 1, line 23, cancel "the" after "which".

On page 1, line 24, insert --such-- before "different".

On page 1, line 24, insert --telecommunication-- after "different".

On page 1, line 24, insert a --, -- after "regulations".

On page 1, lines 24-25, cancel "relating to telecommunication".

On page 1, line 26, insert a --,-- after "time".

On page 1, line 26, cancel "This object is achieved by the".

On page 1, cancel line 27 and substitute the following centered heading therefor:

--SUMMARY OF THE INVENTION--.

On page 1, line 28, cancel "ensured," and substitute therefor -- Thus, the present invention teaches--.

On page 1, line 29, insert --either-- after "via".

On page 1, line 30, cancel "by means of".

On page 1, line 31, cancel "and" and substitute therefor --. The present invention further teaches--.

On page 1, line 35, cancel "that" and substitute therefor --wherein--.

On page 1a, line 1, insert --present-- before "invention".

On page 2, line 6, cancel "in the sense of" and substitute therefor -- contemplated by---.

On page 2, line 9, insert --could be used-- after "devices".

On page 2, line 10, cancel "can be used".

On page 2, line 13, cancel "means for".

On page 2, line 14, insert --devices which connect or disconnect-- after "disconnecting".

On page 2, lines 14-15, cancel "in dependence" and substitute therefor -- depending--.

On page 2, line 15, cancel "on" after "or".

On page 2, line 17, insert --present-- before "invention".

On page 2, line 17, insert a --, -- after "account".

On page 2, line 18, insert a --, -- after "manner".

On page 2, line 21, cancel "in that" and substitute therefor --wherein--.

On page 2, line 23, insert --present-- before "invention".

On page 2, line 24, cancel "means for" and substitute therefor --a--.

On page 2, line 25, insert --device which determines-- after "determining".

On page 2, line 25, cancel "means for" and substitute therefor --a--.

On page 2, line 26, insert --device which generates-- after "generating".

On page 2, line 26, cancel "in dependence" and substitute therefor -- depending--.

On page 2, line 29, insert -- present-- before "invention".

On page 2, cancel lines 30-32 and substitute the following therefor:

25 --Additional features and advantages of the present invention are described in, and will be apparent from, the following Detailed Description of the Preferred Embodiments and the Drawings.

DESCRIPTION OF THE DRAWINGS--

On page 2, line 34, cancel "according to" and substitute therefor -- of--.

- On page 2, line 34, insert --present-- before "invention".
- On page 3, line 2, cancel the "." and substitute therefor --; and--.
- On page 3, line 4, cancel "according to" and substitute therefor -- of--.
- On page 3, line 5, insert --present-- before "invention".
- 5 On page 3, before line 6, insert the following centered heading:

--DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS--.

- On page 3, line 13, insert a --,-- after "example".
- On page 3, line 14, insert a --,-- after "satellites".
- On page 3, line 18, cancel "e.g." and substitute therefor -- such as--.
- On page 3, lines 23-24, cancel "the utilization of"
 - On page 3, line 25, cancel the "," after "links" and substitute therefor a --;--.
 - On page 3, line 25, insert a --,-- after "e.g.".
 - On page 3, line 28, cancel "The" and substitute therefor -- As such, the--.
 - On page 3, line 28, cancel "according to" and substitute therefor -- of--.
- On page 3, line 28, insert --present-- before "invention".
 - On page 3, line 28, cancel "thus".
 - On page 3, line 29, insert a --,-- after "device".
 - On page 3, line 30, insert a --,-- after "devices".
 - On page 4, line 5, cancel "e.g." and substitute therefor --for example,--.
- 20 On page 4, line 7, insert a --, -- after "18".
 - On page 4, line 8, cancel the "-" and substitute therefor a --(--.
 - On page 4, line 9, cancel the "-" and substitute therefor a --)--.
 - On page 4, line 11, cancel the "," after "algorithms".
 - On page 4, line 13, cancel the ",".
- On page 4, line 14, insert a --,-- after "and are".
 - On page 4, line 15, insert a --, -- after "thus".
 - On page 4, line 18, cancel "these" and substitute therefor --this--.
 - On page 4, line 18, cancel "must" and substitute therefor --would--.
 - On page 4, after line 19, insert the following paragraph:

--Although the present invention has been described with reference to specific embodiments, those of skill in the art will recognize that changes may be made thereto without departing from the spirit and scope of the invention as set forth in the hereafter appended claims.--

On page 6 (last page), cancel line 1, and substitute the following centered heading therefor:

-- ABSTRACT OF THE DISCLOSURE ---.

On page 6, line 5, insert --either-- after "via".

On page 6, line 6, cancel "by means of".

On page 6, line 11, insert --, wherein the mobile telecommunication device-- after "device".

On page 6, lines 12-13, cancel ". This provides for conformance" and substitute therefor --so as to conform--.

On page 6, cancel line 17.

15 In the Claims:

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On page 5, cancel line 1, and substitute the following left-hand justified heading therefor:

-- I Claim As Our Invention: --.

Please cancel claims 1-3, without prejudice, and substitute the following 20 claims therefor:

- 4. A mobile telecommunication device, comprising:
- a facility for transmitting messages to a plurality of subscriber stations via one of a plurality of radio frequencies and different transmission methods; and
- a facility for evaluating at least one control signal depending on a position of the mobile telecommunication device for selecting and changing the radio frequencies and transmission methods.
 - 5. A mobile telecommunication device as claimed in claim 4, wherein the facility for transmitting messages includes one of a plurality of radio

facilities and a plurality of controllers, and wherein the mobile telecommunication device further comprises means for connecting and disconnecting the plurality of radio facilities and the plurality of controllers depending on at least one control signal.

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6. A mobile telecommunication device as claimed in claim 4, further comprising:

means for determining the position of the mobile telecommunication device; and

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means for generating a control signal depending on the position of the mobile telecommunication device.

REMARKS

The present amendment makes editorial changes and corrects typographical errors in the specification in order to conform the specification to the requirements of the United States Patent practice. No new matter is added thereby. Original claims 1-3 have been canceled in favor of new claims 4-6. Claims 4-6 have been presented solely because the revisions by bracketing and underlining which would have been necessary in claims 1-3 in order to present those claims in accordance with preferred United States Patent practice would have been too extensive, and thus would have been too burdensome. The amendment is intended for clarification purposes only and not for substantial reasons related to patentability pursuant to 35 U.S.C. §§101, 102, 103 or 112. Indeed, the cancellation of claims 1-3 does not constitute an intent on the part of the Applicant to surrender any of the subject matter of claims 1-3.

Early consideration on the merits is respectfully requested.

Respectfully submitted,

(Reg. No. 39,056)

William E. Vaughan Bell, Boyd & Lloyd ILC

P.O. Box 1135

Chicago, Illinois 60690-1135

(312) 807-4292

Attorneys for Applicant

BOX PCT

IN THE UNITED STATES ELECTED/DESIGNATED OFFICE OF THE UNITED STATES PATENT AND TRADEMARK OFFICE UNDER THE PATENT COOPERATION TREATY-CHAPTER II

5

APPLICANT:

Ludwig Hofmann

DOCKET NO: 112740-152

(Reg. No. 39,056)

SERIAL NO:

GROUP ART UNIT:

EXAMINER:

10 INTERNATIONAL APPLICATION NO: PCT/DE99/00071

INTERNATIONAL FILING DATE:

14 January 1999

INVENTION:

A MOBILE TELECOMMUNICATION DEVICE

Assistant Commissioner for Patents,

15 Washington, D.C. 20231

SUBMISSION OF DRAWINGS

Applicant herewith submits two sheets (Figs. 1-2) of drawings for the

20 above-referenced PCT application.

Respectfully submitted,

William E. Vauglan

Bell, Boyd & Lloyd LLC

P.O. Box 1135

Chicago, Illinois 60690-1135

(312) 807-4292

Attorneys for Applicant

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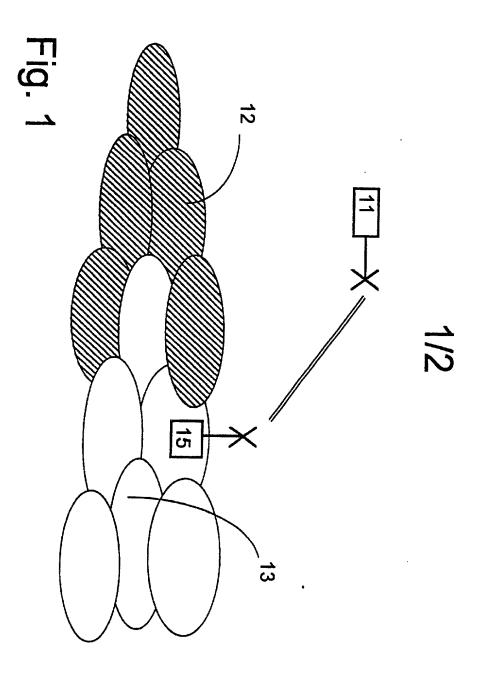
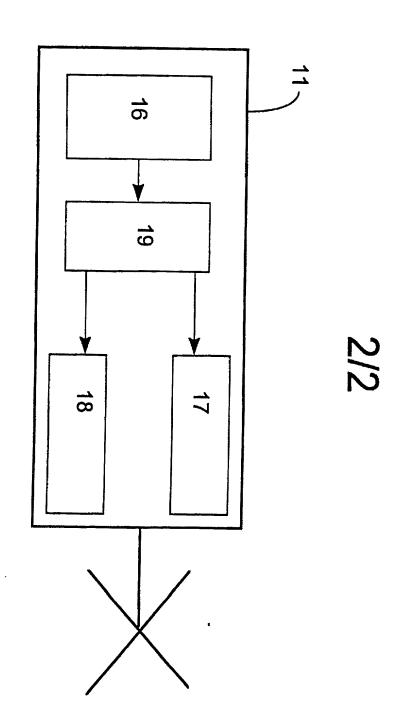


Fig. 2



Description

Mobile telecommunication device

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conventional systems In for wireless telecommunication, mobile subscriber stations usually communicate via stationary facilities infrastructure. In contrast with this, satellite systems are now planned or being set up in which the infrastructure, that is to say the base stations, are implemented by satellites which, for various reasons, are not geostationary but orbit the earth relatively low altitude and, in doing so, continuously change their location in relation to the surface.

In the course of their movement around the globe, these mobile telecommunication devices will successively over the territories of different countries in which different regulations for utilizing frequencies or transmission protocols apply. object on which the invention is based is therefore to specify the technical teaching by means of which the conformance to different regulations relating to telecommunication which vary locally and possibly with time is made possible. This object is achieved by the subject matters of the present claims. It is thereby ensured, using a facility for transmitting messages to a multiplicity of subscriber stations via a number of radio frequencies or by means of different transmission methods and a facility for evaluating at least one signal depending on the position of the telecommunication device for selecting or changing the radio frequencies or transmission methods used by the telecommunication device, that the respective applicable regulations are observed.

The invention thus provides for a very extensive, time-optimized adaptation of the mobile infrastructure facilities to

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multiplicity of national radio transmission regulations which permits better utilization transmission resources than the use of transmission resources which have been released globally. Naturally, satellites are not the only conceivable mobile telecommunication facilities in the sense of the present invention. Naturally, mobile devices on oceangoing ships or as payload of airships and similar mobile telecommunication devices in the sense of the present invention can be used.

A preferred embodiment of the present invention provides for the use of a number of radio facilities or controllers and the use of means for connecting or disconnecting these radio facilities or controllers in dependence on a control signal or on a number of control signals. This advantageous embodiment of the invention thus takes into account in a particularly simple and robust manner the fact that a satellite-supported telecommunication system is frequently in simultaneous contact with the territories of a number of countries in that suitable radio facilities can be provided for different countries.

A further preferred embodiment of the invention provides that the device is equipped with means for determining the position of the device and means for generating a control signal in dependence on the current position. This has the advantage that no special terrestrial infrastructure needs to be created for implementing the invention.

In the text which follows, the invention will be described with the aid of preferred embodiments and with the aid of figures, in which:

Figure 1 shows a diagrammatic representation of the interaction of a device according to the invention with a terrestrial

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infrastructure for controlling the telecommunication device.

Figure 2 diagrammatically shows a preferred embodiment of the telecommunication device according to the invention using a number of radio facilities.

1 illustrates how Figure а telecommunication device 11 (satellite) can be caused or change the radio frequencies select transmission methods by a control signal 14 when flying over a boundary region between two locally limited mobile radio infrastructures 12, 13. The control signal can be sent out directly by terrestrial 15 (radio beacon) or other stationary devices (for example geostationary satellites etc.) or it can be generated by device for position finding 16 in telecommunication device itself. Thus. if the telecommunication device 11 is within transmission range of a radio beacon 15, a response, e.g. a change frequency or operating mode, is automatically initiated in the telecommunication device.

In this manner, the mobile telecommunication device can optimally adapt to local or time-defined regulations regarding the use of frequencies or the utilization of transmission methods. It is possible, for example, to locally influence satellite links, e.g. the use of a satellite could be blocked for certain user groups in trouble spots.

The device according to the invention thus typically contains a controllable radio device or a number of such radio devices which can be switched on and off by a programmable controller. The information

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regarding when this is to be done is derived from a control signal. This signal originates from an external device or is derived in the mobile telecommunication device from position data which can come, e.g. from a global positioning system (GPS).

It is advantageous if a number of radio parts 17, 18 or a radio part which can be switched to a number of frequencies - differentiated, if necessary, depending on uplink or downlink - or operating modes, is integrated into the telecommunication device. In this arrangement, the information table or algorithms, which establish the relationship between current position and the corresponding operating mode of the radio parts, are also changed by a central control station and are thus adapted to changing regulations.

Accordingly, a storage device for such tables (data records) and a processor 19 for processing and adapting these stored data must be provided in such a mobile telecommunication device.

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Patent Claims

- 1. A mobile telecommunication device (11) using a facility for transmitting messages to a multiplicity of subscriber stations via a number of radio frequencies or by means of different transmission methods and a facility for evaluating at least one control signal (14) depending on the position of the telecommunication device for selecting or changing the radio frequencies or transmission methods used by the telecommunication device.
- 2. The device as claimed in claim 1 using a number of radio facilities (17, 18) or controllers and means for connecting or disconnecting these radio facilities or controllers in dependence on a control signal or on a number of control signals.
- 3. The device as claimed in one of the preceding claims using means for determining the position of the device and means for generating a control signal in dependence on the current position.

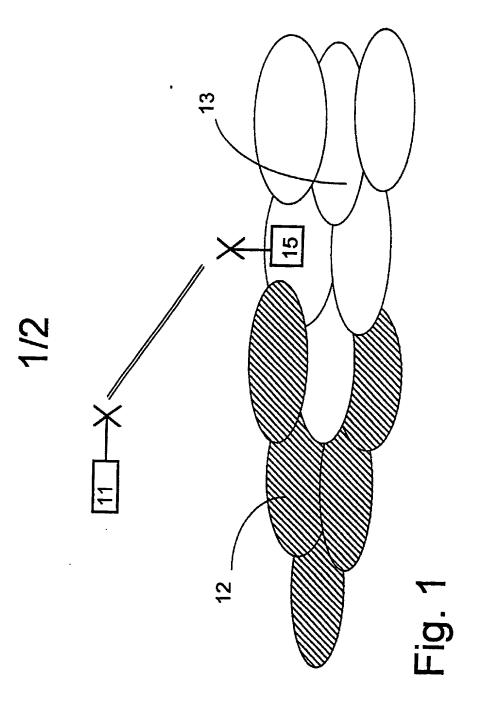
Abstract

A mobile telecommunication device using a facility for transmitting messages to a multiplicity of subscriber stations via a number of radio frequencies or by means of different transmission methods and a facility for evaluating at least one control signal depending on the position of the telecommunication device for selecting or changing the radio frequencies or transmission methods used by the telecommunication device permits the location-dependent configuration of the radio frequencies and transmission methods. This provides for conformance with local differences in radio transmission regulations in satellite systems and other mobile radio systems.

Figure 1

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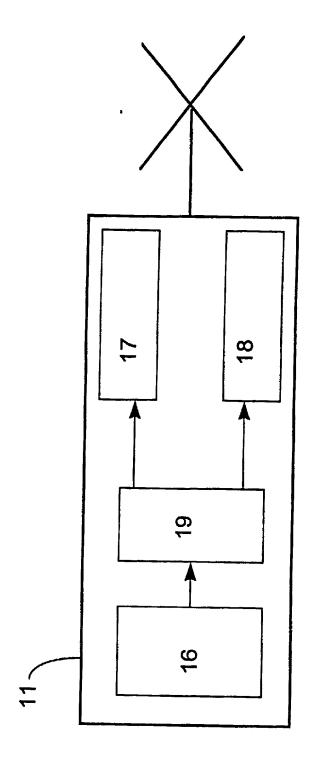


Fig. 2

Declaration and Power of Attorney For Patent Application Erklärung Für Patentanmeldungen Mit Vollmacht

German Language Declaration

| Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt: | As a below named inventor, I hereby declare that: |
|--|--|
| dass mein Wohnsitz, meine Postanschrift, und meine Staatsangehörigkeit den im Nachstehenden nach meinem Namen aufgeführten Angaben entsprechen, | My residence, post office address and citizenship are as stated below next to my name, |
| dass ich, nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent beantragt wird für die Erfindung mit dem Titel: | I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled |
| Mobile Telekommunikationsvorrichtung | |
| zur Unterstützung ortsabhängiger | |
| <u>Funkfrequenzen</u> und | |
| <u>Übertragungsverfahren</u> | |
| deren Beschreibung | the specification of which |
| (zutreffendes ankreuzen) | (check one) |
| 🗵 hier beigefügt ist. | is attached hereto. |
| D am als | was filed on as |
| PCT internationale Anmeldung | PCT international application |
| PCT Anmeldungsnummer eingereicht wurde und am | PCT Application Noand was amended on |
| abgeändert wurde (falls tatsächlich abgeändert). | (if applicable) |
| lch bestätige hiermit, dass ich den Inhalt der obige□n Patentanmeldung einschliesslich der Ansprüche durchgesehen und verstanden habe, die eventuell durch einen Zusatzantrag wie oben erwähnt abgeän- dert wurde. | I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above. |
| Ich erkenne meine Pflicht zur Offenbarung irgendwel- cher Informationen, die für die Prüfung der vorliegen- den Anmeldung in Einklang mit Absatz 37, Bundes- gesetzbuch, Paragraph 1.56(a) von Wichtigkeit sind, an. | I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a). |
| Ich beanspruche hiermit ausländische Prioritätsvorteile gemäss Abschnitt 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 119 aller unten angegebenen Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde, und habe auch alle Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde nachstehend gekennzeichnet, die ein Anmeldedatum haben, das vor dem Anmeldedatum der Anmeldung liegt, für die Priorität beansprucht wird. | I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filling date before that of the application on which priority is claimed: |
| Page 1 of | 3 |

| . · | | German Langua | age Declaration | | |
|---|---|---|---|---|---|
| Prior foreign appp Priorität beanspru | | | | Priorit | y Claimed |
| 198 36 145.9 (Number) (Nummer) | Germany (Country) (Land) | 10. August (Day Month Ye (Tag Monat Jai | ear Filed) | Yes Ja | □ No Nein |
| (Number) (Nummer) | (Country) (Land) | (Day Month Ye (Tag Monat Ja | | Yes Ja | □ No Nein |
| (Number) (Nummer) | (Country) (Land) | (Day Month Ye (Tag Monat Ja | | Yes Ja | No Nein |
| prozessordnung of 120, den Vorzug dungen und falls of dieser Anmeldt amerikanischen Paragraphen des der Vereinigten Serkenne ich gem Paragraph 1.56(a Informationen an der früheren Ans | der Vereinigten galler unten a der Gegenstand ung nicht ir Patentanmeldun Absatzes 35 de staaten, Paragratäss Absatz 37, meine Pflicht die zwischen meldung und den Anmeldedatu | Absatz 35 der Zivil- Staaten, Paragraph aufgeführten Anmel- aus jedem Anspruch n einer früheren g laut dem ersten r Zivilprozeßordnung ph 122 offenbart ist, Bundesgesetzbuch, zur Offenbarung von dem Anmeldedatum em nationalen oder m dieser Anmeldung | I hereby claim the ben Code. §120 of any Urbelow and, insofar as claims of this applicated United States applicate the first paragraph of §122, I acknowledge information as define Regulations, §1.56(a) filing date of the prior PCT international filing | nited States a the subject m tion is not dis tion in the m f Title 35, Ui the duty to d in Title 37) which occi | application(s) listed atter of each of the sclosed in the prior lanner provided by nited States Code, disclose material, Code of Federal ured between the and the national or |
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German Language Declaration

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